

PTO/SB/97 (08-03)

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*Lori Klewin*

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Lori Klewin

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certificate must identify each submitted paper.

Serial No.: 10/551,083

Docket No.: PU030095

Art Unit: 2165

Examiner: Jeffrey A. Gaffin

Supplemental Information Disclosure Statement (2 pages) in duplicate; and  
Form PTO/SB/08a (1 page)

This collection of information is required by 37 CFR 1.8. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 1.8 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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Customer No. 24498  
Serial No.: 10/551,083

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PU030095

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APR 25 2007

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Matthew Albert Ivey et al.  
Serial No. : 10/551,083  
Filed : September 27, 2005  
For : SYSTEM AND METHOD FOR AUTOMATICALLY GENERATING  
A STATE USING METADATA  
Examiner : Jeffrey A. Gaffin  
Art Unit : 2165

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

- ☒ 1 Pursuant to 37 CFR 1.97(b)  
[within 3 months of filing or prior to 1st Office Action]  
☐ 2 Pursuant to 37 CFR 1.97(c)  
[before Final Office Action or Allowance]  
☐ 3 Pursuant to 37 CFR 1.97(d)  
[after Final Office Action or Allowance, but prior to payment of Issue Fee]

Mail Stop Amendment  
Commissioner for Patents  
P. O. Box 1450  
Alexandria, Virginia 22313-1450

Sir:

The following are submitted in the above-identified application in compliance with 37 CFR 1.97 & 1.98:

- ☒ 4 A list of documents on form PTO/SB/08a and/or PTO/SB/08b together with copies of each identified document and a translation or a concise explanation of each non-English language document is enclosed herewith.

This paper is submitted in accordance with:

- ☒ 5 37 CFR 1.97(b): [within 3 months of filing or prior to 1st Office Action]  
☐ 6 37 CFR 1.97(c): [before Final Office Action or Allowance, whichever is earlier]; and  
☐ (a) The required certification made in item 8(a) below; OR  
☐ (b) The \$180.00 fee specified in 37 CFR 1.17(p) for submission of this Information Disclosure Statement is authorized in item 9 below.  
☐ 7 37 CFR 1.97(d): [after Final Office Action or Allowance, but prior to payment of Issue Fee]; and  
☐ (a) The required Certification made in item 8(a) below; AND  
☐ (b) The \$180.00 fee specified in 37 CFR 1.17(p) for submission of this Information Disclosure Statement is authorized in item 9 below.

Customer No. 24498  
Serial No.: 10/551,083

PATENT  
PU030095


[ ] 8 Certification

- [ ] (a) Each item of information contained in this Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Statement; or
- [ ] (b) No item of information contained in this Statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the person signing this document after making reasonable inquiry, was known to any individual designated in 37 CFR 1.56(c) more than three (3) months prior to the filing of this Statement.

[XX] 9 Please charge the applicable fees associated with the submittal of this Information Disclosure Statement to Deposit Account No. 07-0832. An original and one (1) copy of this document is enclosed.

Respectfully submitted,  
MATTHEW ALBERT IVEY ET AL.

By:

  
Joel M. Fogelson, Attorney  
Registration No. 43,613  
(609) 734-6809

THOMSON Licensing LLC  
Patent Operations  
P.O. Box 5312  
Princeton, New Jersey 08543-5312

DATE: 4/25/07

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Lori Klewin

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Rev. 02/01/2007

Customer No. 24498  
Serial No.: 10/551,083

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☐ 7 37 CFR 1.97(d): [after Final Office Action or Allowance, but prior to payment of Issue Fee]; and  
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Customer No. 24498  
Serial No.: 10/551,083

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PU030095

[ ] 8 Certification

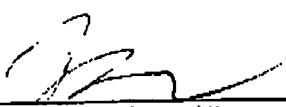
- [ ] (a) Each item of information contained in this Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Statement; or
- [ ] (b) No item of information contained in this Statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the person signing this document after making reasonable inquiry, was known to any individual designated in 37 CFR 1.56(c) more than three (3) months prior to the filing of this Statement.

[XX] 9 Please charge the applicable fees associated with the submittal of this Information Disclosure Statement to Deposit Account No. 07-0832. An original and one (1) copy of this document is enclosed.

Respectfully submitted,

MATTHEW ALBERT IVEY ET AL.

By:

  
Joel M. Fogelson, Attorney  
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Patent Operations  
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Date: April 25, 2007 Signature Lori Klewin  
Lori Klewin

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Rev. 02/01/2007

PTO/SB/08a (08-03)

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## Substitute for form 1449A/PTO

**SUPPLEMENTAL  
INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Sheet	1	of	1
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**Complete if Known**

<b>Application Number</b>	10/551,083
<b>Filing Date</b>	September 27, 2005
<b>First Named Inventor</b>	Matthew Albert Ivey et al.
<b>An Unit</b>	2165
<b>Examiner Name</b>	Jeffrey A. Gaffin
<b>Attorney Docket Number</b>	PU030095

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## U.S. PATENT DOCUMENTS

[illegible]

## FOREIGN PATENT DOCUMENTS

[illegible]

**Examiner  
Signature**

Date  
Considered

**EXAMINER:** Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

Translation is attached.

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